

Age and method-specific differences in the efficacy of non-invasive brain stimulation in patients' post-stroke limb spasticity: a meta-analysis

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Abstract

Objective: The aim of this study was to evaluate the effectiveness of two non-invasive brain stimulation (NIBS) methods, repetitive transcranial magnetic stimulation (rTMS) and transcranial direct current stimulation (tDCS), on spasticity in post-stroke patients with respect to patient age and muscle type.

Methods: This meta-analysis was performed according to the Preferred Reporting Items for Systematic reviews and Meta-Analyses (PRISMA) guidelines. PUBMED (MEDLINE), Web of Science, Cochrane Library, and ExcerptaMedica Database (EMBASE) were searched for all randomized controlled trials (RCTs) published before December 2023. **Results:** In patients with spasticity after stroke, both rTMS (SMD: -0.56, CI_{95%}: -0.81, -0.31, P<0.0001) and tDCS (SMD: -0.74, CI_{95%}: -0.89, -0.59, P=0.005) significantly reduced the modified Ashworth Scale (MAS) compared with the control group. rTMS and tDCS were more effective in patients < 60 years than those > 60 years. Both rTMS and tDCS were effective against upper limb spasticity, particularly in patients aged < 60 years. Chronicity of stroke did not affect the benefit of rTMS to reduce spasticity although tDCS was more effective at 2 months after stroke onset. The reduction in spasticity in patients with supratentorial lesions was demonstrated by tDCS. The effectiveness of rTMS in spasticity reduction was not affected by the stimulation rate, but the use of tDCS at < 2 mA significantly decreased spasticity. Anodal stimulation (tDCS) reduced spasticity after stroke, especially in patients < 60 years of age. Other therapies, such as robotic therapy, the use of virtual reality, and electroacupuncture, were less effective against spasticity than conventional physical therapy combined with tDCS. The effectiveness of rTMS in spasticity reduction was not affected by the level of development, although tDCS was more successful in developing countries.

Conclusions: Our findings suggest that NIBS should consider age, methods, and muscle type when treating patients with limb spasticity after stroke.

Keywords: Non-invasive brain stimulation, spasticity, transcranial magnetic stimulation, transcranial direct current stimulation, meta-analysis

INTRODUCTION

Post-stroke spasticity (PSS), a neurological sign that accompanies the classic syndrome of increased muscle tone, occurs in up to 25% of stroke survivors.¹ Spasticity can cause problems, such as pain, muscle spasms, abnormal joint positioning, and ankylosis and can further reduce motor function in stroke patients and cause great difficulty in daily activities.² Hence, interventions for PSS reduction are especially critical. Methods that can improve spasticity after stroke include electrical muscle stimulation, botulinum toxin injection, oral spasticity medication, and wearable exoskeletons.^{3,4} Nevertheless, the common side

effects of drugs and the obtrusive nature of topical medicines are unsavory, thereby constraining their efficacy.

Recently, non-invasive brain stimulation (NIBS) has been studied for the treatment of various neurological diseases. Among different NIBS strategies, repetitive transcranial magnetic stimulation (rTMS) and transcranial direct current stimulation (tDCS) are most regularly utilized to treat patients with PSS.^{5,6} These techniques noninvasively induce changes in the underlying cerebral cortex and permanent neuroplastic changes.⁷ The mechanism of action of NIBS involves changes in the excitability of the motor

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cortex of the brain and indirectly decreasing the excitability of motor neurons in the spinal cord via the H-reflex.⁸

rTMS techniques utilize various frequencies of electromagnetic currents via a magnetic coil administered to the scalp to regulate cortical excitability.⁹ tDCS modifies transmembrane electrical potentials by passing low-amplitude direct currents through scalp electrodes.⁹ This acts through anodal or cathodal stimulation to either increase or decrease cortical excitability.¹⁰ These modalities can stimulate or depress cortical activity to increase adaptive patterns or reduce maladaptive patterns, respectively. It is possible for tDCS and rTMS to modify cortical activity after the stimulation has ended¹¹; although inter-individual responses and stimulation parameters may vary greatly.¹² Subsequently, the effects of NIBS on spasticity after stroke may be conflicting. For example, some studies have shown the advantageous effects of NIBS (rTMS and tDCS) in the treatment of PSS, whereas other studies have not reported significant benefits of NIBS in reduction of muscle spasms.¹³⁻¹⁵

There is limited information on the effectiveness of different NIBS techniques (i.e., rTMS and tDCS) for PSS in relation to patient characteristics (i.e., age). In this meta-analysis, we hypothesized that the efficacy of NIBS for treating patients with PSS may vary depending on patient age and the methods used.

METHODS

Literature search strategy

This meta-analysis conformed to the PRISMA guidelines for systematic reviews and meta-analyses (Figure 1).¹⁶ According to the PICO guidelines, there are four categories: populations; interventions; controls; and outcomes. All articles included in this meta-analysis were retrieved according to the PICO guidelines.¹⁷ The inclusion criteria were population (patients diagnosed as stroke patients by clinical examination and with PSS); intervention (NIBS); control (Sham stimulation); results (MAS); and research type (RCT). The research language was limited to English. PUBMED (MEDLINE), Web of Science, Cochrane Library, and Embase were the electronic databases that the two authors independently searched. Using MeSH terms such as “stroke”, “noninvasive brain stimulation”, “spasm”, and “spasticity”, we searched the database for pertinent articles published by November 2023.

In the event of disagreement during the article inclusion process, a third author was consulted to resolve the issue.

Study selection

The article search strategy is illustrated in Figure 1. We retrieved 2,482 publications in the first search. The two authors screened the titles and abstracts to identify relevant research articles and then further reviewed the full text to determine the research articles included in the meta-analysis. Any disagreements during the inclusion process were resolved by the third author.

Quality assessment

The Quality in Prognostic Studies (QUIPS) tool was used to evaluate the potential for bias in studies.^{18,19} According to the Cochrane Methods Prognosis Group, the QUIPS tool is an effective method for prognostic research as it addresses all types of bias that are commonly found in studies.^{18,19} To assess the probability of bias in a study, two team members evaluated each study individually and independently to determine whether it was low, moderate, or high. A third author was consulted to resolve any conflicts in the assessment. The QUIPS tool encompasses measures of prognostic factors, outcomes measurements, statistical analysis and reporting, study confounding, research participation, and study attrition.^{18,19} An additional method for assessing publication bias was the use of funnel plot analysis (Egger’s regression test).

Data extraction

All studies that met the inclusion criteria were accompanied by relevant experimental design information and outcome analysis. All data were extracted, including study characteristics (author, year of publication, and sample size), treatment parameters (stimulation method, stimulation parameters, stimulation duration), country classification, stroke chronicity, lesion location, and main outcome measure (MAS).

Statistical analysis

In this meta-analysis, we estimated a pooled estimate and 95% confidence interval (CI_{95%}) of the standardized mean difference (SMD) between the experimental and control groups after the intervention. Meta-analysis was performed using META-MAR V2.7.0. In the cases of heterogeneity within and between parameters, a random-effects

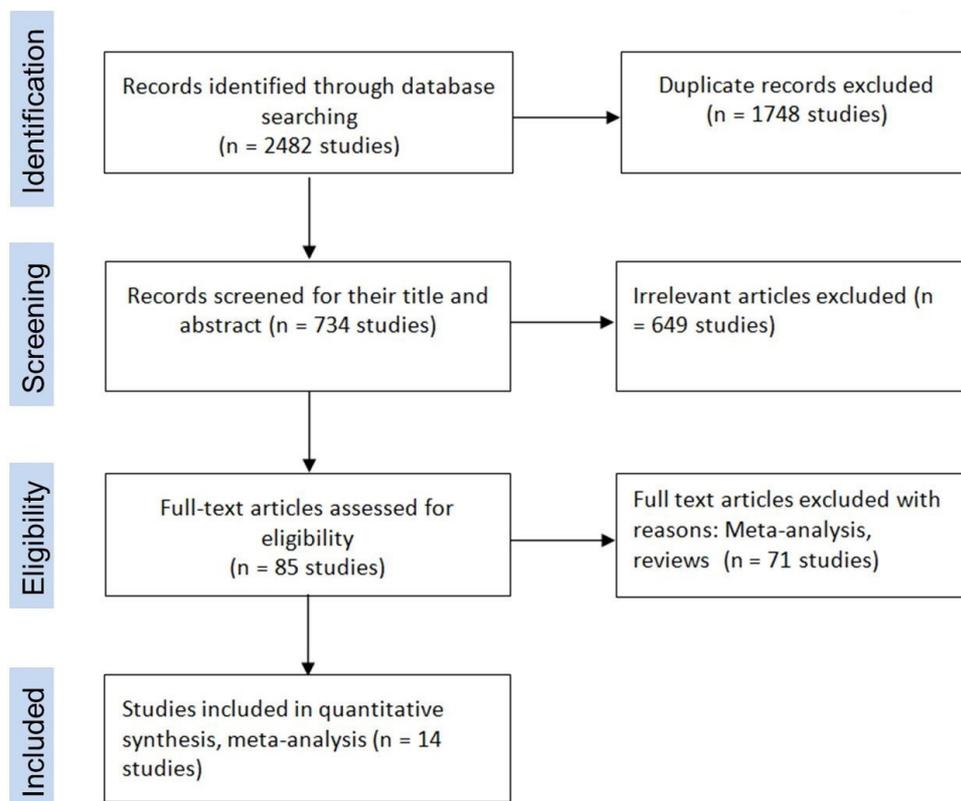


Figure 1. PRISMA flow chart of the selection of studies.

model was used to determine the weighted mean effect size and $CI_{95\%}$; otherwise the fixed-effects model was used. Statistical heterogeneity was estimated using Cochran's Q test and the I^2 index. An I^2 index $> 50\%$ and $P < 0.05$ of the Cochran's Q test indicated high heterogeneity. In addition, meta-regression analysis was carried out to assess potential heterogeneity. The results of the meta-analysis are presented as a Forest Plot. Potential publication bias was assessed using funnel plots and Egger's test. The meta-analysis included fewer studies (< 10 studies) at the time, which may have resulted in biased results through the use of funnel plot and Egger's tests. Therefore, the QUIPS tool was also employed to assess the potential for bias in the included studies.

RESULTS

Study identification and selection

According to the PRISMA guidelines, we searched the database and found 2,849 studies (Figure 1). After removing duplicates, 895 studies were identified for screening. Of these, 788 studies were considered irrelevant, and out of the remaining

107 studies, another 87 studies were rejected for specific reasons. As a result (Figure 1), 20 studies were included and reviewed in the current meta-analysis.²⁰⁻³⁹ This meta-analysis included eight rTMS²⁰⁻²⁷ (including 11 independent experiments) and 12 tDCS²⁸⁻³⁹ (including 14 independent experiments) research papers. The outcome measure in all studies was MAS. Information about all studies and the details of each study are provided in Table 1. In terms of study design, all papers in this study were RCTs.

Overall effects of rTMS and tDCS

The meta-analysis showed that the overall effect of treatment with rTMS or tDCS was significant in PSS reduction (SMD: -0.69, $CI_{95\%}$: -0.82, -0.56, $P < 0.001$, Figure 2). The heterogeneity was low between the rTMS studies ($I^2 = 0$, $P = 0.61$; Figure 2A); while, it was high between the tDCS studies ($I^2: 87\%$, $P < 0.01$; Figure 2B).

Effects of rTMS

Subgroup analysis revealed that rTMS reduced spasticity (SMD: -0.56, $CI_{95\%}$: -0.81, -0.31, $P <$

Table 1: Research characteristics of rTMS and tDCS studies

| Study | Country | Stroke onset | Lesion location | Stimulation rate/intensity | Participant | | | | Mean Severity, MAS (SD) | | Muscle |
|----------------------------------|---------|--------------|--|---|------------------------|---------------|-------------------|----------------------|-------------------------|----------------------|------------|
| | | | | | Intervention No. (M/F) | Age Mean (SD) | Control No. (M/F) | Intervention Control | Intervention Control | Intervention Control | |
| | | | | | | | | | | | |
| Barros Galvao 2014 ²⁴ | Brazil | > 6 mo | Ischemic or hemorrhagic hemispheric stroke | 1500 pulse, 1 Hz, 10 sessions, 1 session/d | 57.4 (12.0) | 10 (6/4) | 64.6 (6.8) | 10 (7/3) | 2.5 (0.5) | 2.4 (0.5) | Wrist |
| Aşkın 2017 ²³ | Turkey | 28.4±15.3 mo | Hemisphere Right/left Dominant/non-dominant | 1200 pulses, 1 Hz, 10 sessions, 5 d/wk | 56.8 (11.5) | 20 (14/6) | 58.8 (12.0) | 20 (15/5) | 3.2 (0.8) | 2.8 (0.8) | Upper limb |
| Chervyakov 2018a ²² | USA | 5.1-4.8 mo | Middle cerebral artery | 1 Hz, 10 sessions, 5 d/wk | 54.2 (11.1) | 11 (5/6) | 61.4 (11.4) | 10 (5/5) | 1.2 (0.9) | 1.4 (1.0) | Arm |
| Chervyakov 2018b ²² | USA | 5.8-4.6 mo | Middle cerebral artery | 10 Hz, 10 sessions, 5 d/wk | 58.6 (10.4) | 13 (10/3) | 61.4 (11.4) | 10 (5/5) | 1.8 (0.8) | 1.4 (1.0) | Arm |
| Chervyakov 2018c ²² | USA | 7.4-5.9 mo | Middle cerebral artery | A combination of 1 and 10 Hz, 10 sessions, 5 d/wk | 60.7 (9.6) | 8 (6/2) | 61.4 (11.4) | 10 (5/5) | 1.5 (0.9) | 1.4 (1.0) | Arm |
| Chen 2019 ²⁰ | Taiwan | ≥ 6 mo | Supratentorial, Infratentorial | 50 Hz, 10 sessions, 1 session/d | 52.9 (11.1) | 11 (7/4) | 52.6 (8.3) | 11 (7/4) | 3.9 (2.1) | 4.1 (1.6) | Upper limb |
| Chen 2021 ²¹ | Taiwan | 5.0±4.4 mo | Cerebral cortex: Cortical M1 involvement Subcortical | 1200 pulses, 50 Hz | 54.4 (10.6) | 12 (8/4) | 49.0 (9.6) | 11 (10/1) | 0.9 (0.5) | 0.9 (0.7) | Upper limb |
| Gottlieb 2021 ²⁶ | Germany | NR | Middle cerebral artery | 1 Hz, 10 sessions, 5 d/wk | 63.9 (10.9) | 14 (9/5) | 62.4 (11.5) | 14 (3/11) | 1.9 (1.4) | 1.7 (1.3) | Upper limb |
| Xu 2021 ²⁷ | China | 2 wk to 6 mo | NR | 550 pulses, 1 Hz, 5 sessions/wk | 79.5 (1.5) | 22 (17/5) | 68.9 (3.1) | 22 (15/7) | 2.3 (0.5) | 2.4 (0.5) | Upper limb |

| Study | Country | Stroke onset | Lesion location | Stimulation rate/intensity | Participant | | | | Mean Severity, MAS (SD) | | Muscle |
|---------------------------|---------|--------------|--|--|---------------|------------|---------------|------------|-------------------------|-----------|------------|
| | | | | | Intervention | | Control | | Intervention | Control | |
| | | | | | Age Mean (SD) | No. (M/F) | Age Mean (SD) | No. (M/F) | | | |
| Kuzu 2021a ²⁵ | Turkey | 16.4±2.5 mo | Cerebral Cortical Subcortical | 1200 pulses, 1 Hz, 10 sessions | 56.3 (11.5) | 7 (4/3) | 65.0 (4.6) | 6 (2/4) | 1.8 (0.4) | 2.3 (0.6) | Upper limb |
| Kuzu 2021b ²⁵ | Turkey | 14.5±1.6 mo | Cerebral Cortical Subcortical | 600 pulses, 50 Hz | 61.3 (9.8) | 7 (6/1) | 65.0 (4.6) | 6 (2/4) | 2.1 (0.6) | 2.3 (0.6) | Upper limb |
| Hesse 2012a ²⁹ | Germany | 3.4±1.8 wks | Ischemic supratentorial | 2.0 mA, 30 sessions | 63.9 (10.5) | 32 (20/12) | 65.6 (10.3) | 32 (21/11) | 1.6 (2.9) | 1.4 (2.7) | Upper limb |
| Hesse 2012b ²⁹ | Germany | 3.8±1.4 wks | Ischemic supratentorial | 2.0 mA, 30 sessions | 65.4 (8.6) | 32 (18/14) | 65.6 (10.3) | 32 (21/11) | 1.0 (1.8) | 1.4 (2.7) | Upper limb |
| Wu 2013 ³³ | China | 2-12 mo | Cerebral cortex: Primary sensorimotor cortex | 1.2 mA, 20 sessions, 5 d/wk, 20 sessions | 45.9 (11.2) | 45 (34/11) | 49.3 (12.6) | 45 (35/10) | 2.0 (0.8) | 2.0 (0.5) | Wrist |
| Lee 2014a ³⁰ | Korea | 17.4±9.4 d | Cerebral Cortex Subcortex | 2.0 mA, 15 sessions, 5 sessions/wk | 63.1 (10.3) | 19 (10/9) | 60.3 (11.3) | 20 (9/11) | 0.5 (0.4) | 0.5 (0.4) | Upper limb |
| Lee 2014a ³⁰ | Korea | 16.9±5.5 d | Cerebral Cortex Subcortex | 2.0 mA, 15 sessions, 5 sessions/wk | 63.1 (10.3) | 20 (12/8) | 60.6 (14.1) | 20 (9/11) | 0.5 (0.4) | 0.5 (0.4) | Upper limb |

| Study | Country | Stroke onset | Lesion location | Stimulation rate/intensity | Participant | | | | Mean Severity, MAS (SD) | | Muscle | |
|------------------------------|---------|---------------|-------------------------------|--|---------------|------------|---------------|-----------|-------------------------|-------------|---|------------|
| | | | | | Intervention | | Control | | Intervention | Control | | |
| | | | | | Age Mean (SD) | No. (M/F) | Age Mean (SD) | No. (M/F) | | | | |
| Viana 2014 ³² | Brazil | 31.9 ±18.2 mo | NR | 2.0 mA, 15 sessions | 56.0 (10.2) | 10 (9/1) | 55.0 (12.2) | 10 (7/3) | 1.5 (0.7) | 1.5 (0.5) | Anodal stimulation plus virtual reality | Upper limb |
| Andrade 2017 ²⁸ | Brazil | 1.8±1.6 mo | Cerebral Cortical/subcortical | 0.7 mA, 10 sessions | 54.1 (3.7) | 40 (22/18) | 54.8 (4.3) | 20 (12/8) | 3.3 (0.4) | 3.6 (0.5) | Anodal stimulation plus constraint-induced movement therapy | Upper limb |
| Mazzoleni 2019 ³¹ | Italy | 25±7 d | Supratentorial | 2.0 mA, 30 sessions | 67.5 (16.3) | 20 (8/12) | 68.7 (15.8) | 19 (7/12) | 1.1 (1.9) | 1.6 (2.3) | Anodal stimulation plus wrist robot-assisted rehabilitation | Wrist |
| Qu 2009 ³⁴ | China | 5±6.1 mo | Primary sensorimotor cortex | 0.5 mA, 1 session/d, 5 d/wk, 20 sessions | 45 (12.5) | 25 | 45 (12.5) | 25 | 2.0 (0.8) | 2.0 (0.8) | Cathodal stimulation plus exercise training | Upper limb |
| Wang 2019 ³⁵ | China | 1.6±0.9 years | Primary motor cortex | 0.5 mA, 1 session/d, 5 d/wk, 20 sessions | 51.9 (11.3) | 30 | 51.9 (11.3) | 30 | 16.0 (12.3) | 16.0 (12.3) | Anodal stimulation plus conventional rehabilitation | Upper limb |
| Zhang 2019 ³⁶ | China | 4.5±1.6 mo | Cerebral cortex | 2.0 mA, 1 session/d, 6 d/wk, 24 sessions | 48.2 (6.5) | 36 | 48.2 (6.5) | 36 | 2.1 (0.3) | 2.1 (0.3) | Dual stimulation plus Robot-Assisted Arm Training | Upper limb |

| Study | Country | Stroke onset | Lesion location | Stimulation rate/intensity | Participant | | | | Mean Severity, MAS (SD) | | Muscle | |
|--------------------------|---------|--------------|----------------------|--|---------------|-----------|---------------|-----------|-------------------------|-----------|---|------------|
| | | | | | Intervention | | Control | | Intervention | Control | | |
| | | | | | Age Mean (SD) | No. (M/F) | Age Mean (SD) | No. (M/F) | | | | |
| Zheng 2020 ³⁷ | China | 4.4±2.8 mo | NR | 1.5 mA, 1 session/d, 6 d/wk, 36 sessions | 48.9 (2.2) | 80 | 48.9 (2.2) | 40 | 1.5 (0.2) | 1.5 (0.2) | Dual stimulation or (dual stimulation plus electro-acupuncture) | Upper limb |
| Zhou 2020 ³⁸ | China | 2.6±1.2 mo | NR | 1.0 mA, 1 session/d, 40 sessions | 55.2 (8.8) | 50 | 55.2 (8.8) | 50 | 2.8 (0.8) | 2.8 (0.8) | Anodal stimulation plus conventional rehabilitation | Upper limb |
| Cheng 2015 ³⁹ | China | 49.6±17.8 d | Primary motor cortex | 1.2 mA, 1 session/d, 6 d/wk, 36 sessions | 59.2 (7.3) | 19 | 59.2 (7.3) | 19 | 3.9 (1.0) | 3.9 (1.0) | Dual stimulation plus conventional rehabilitation training | Upper limb |

MAS: modified Ashworth Scale; SD: standard deviation; M: male; F: female; rTMS: repetitive transcranial magnetic stimulation; tDCS: transcranial direct current stimulation; LF: low-frequency; HF: high-frequency; mo: month; d: day; wk: week.

0.0001, Figure 2A). As shown in Figure 2C, rTMS was more effective in MAS reduction in patients aged < 60 years (SMD: -0.60, CI_{95%}: -1.03, -0.16, P = 0.007) than that in patients aged > 60 years (SMD: -0.36, CI_{95%}: -0.75, 0.03, P = 0.068). rTMS had significant benefits in improving upper limb function after stroke (SMD: -0.54, CI_{95%}: -0.84, -0.25, P = 0.003; Figure 3A). Furthermore, rTMS was more effective in improving upper limb function in patients < 60 years of age (SMD: -0.60, CI_{95%}: -1.03, -0.16, P = 0.007) than in patients > 60 years of age (SMD: -0.37, CI_{95%}: -0.80, 0.06, P = 0.089; Figure 3B). The effect of rTMS on MAS reduction was greater in developing countries (SMD: -0.76, CI_{95%}: -1.29, -0.23, P < 0.0001) than in developed (SMD: -0.38, CI_{95%}: -0.65, -0.12, P = 0.029; Figure 3C). Subgroup analysis revealed that stroke chronicity did not change the effect of rTMS on MAS reduction in patients (Figure 4A); however, the effect size was greater at > 6 months after stroke onset (SMD: -0.76, CI_{95%}: -1.12, -0.39, P < 0.0001) than it was < 6 months (SMD: -0.47, CI_{95%}: -0.85, -0.09, P = 0.014; Figure 4A). In terms of lesion location, the use of rTMS was more effective in MAS reduction in patients with hemispheric stroke (SMD: -0.87, CI_{95%}: -1.41, -0.34, P < 0.001) than in patients with lesions in the middle cerebral artery or cerebral cortex or subcortex (Figure 4B). The stimulation rate of rTMS did not change the effect of treatment on MAS in patients; rTMS at 1 Hz (SMD: -0.65, CI_{95%}: -0.97, -0.33, P < 0.0001) and 50 Hz (SMD: -0.56, CI_{95%}: -1.08, -0.03, P = 0.036) significantly reduced MAS (Figure 4C).

Effects of tDCS

The use of tDCS significantly decreased MAS in patients with PSS (SMD: -0.74, CI_{95%}: -0.89, -0.59, P = 0.005, Figure 2B), particularly in patients < 60 years (SMD: -1.09, CI_{95%}: -1.70, -0.47, P = 0.003, Figure 5A). In terms of muscle recovery, subgroup analysis revealed that the effect of tDCS on spasticity reduction was significantly higher for upper limb function (SMD: -0.76, CI_{95%}: -1.32, -0.20, P = 0.012, Figure 5B), particularly in patients < 60 years (SMD: -1.02, CI_{95%}: -1.72, -0.33, P < 0.01, Figure 5C). Subgroup analysis revealed that the stimulation type (anodal, cathodal, or dual stimulation) did not change the effect of tDCS on PSS. However, the effect of anodal stimulation was greater (SMD: -0.93, CI_{95%}: -2.01, 0.15, P = 0.076; Figure 6A). It was found that patient age did not change the effect of tDCS on spasticity; however, anodal stimulation

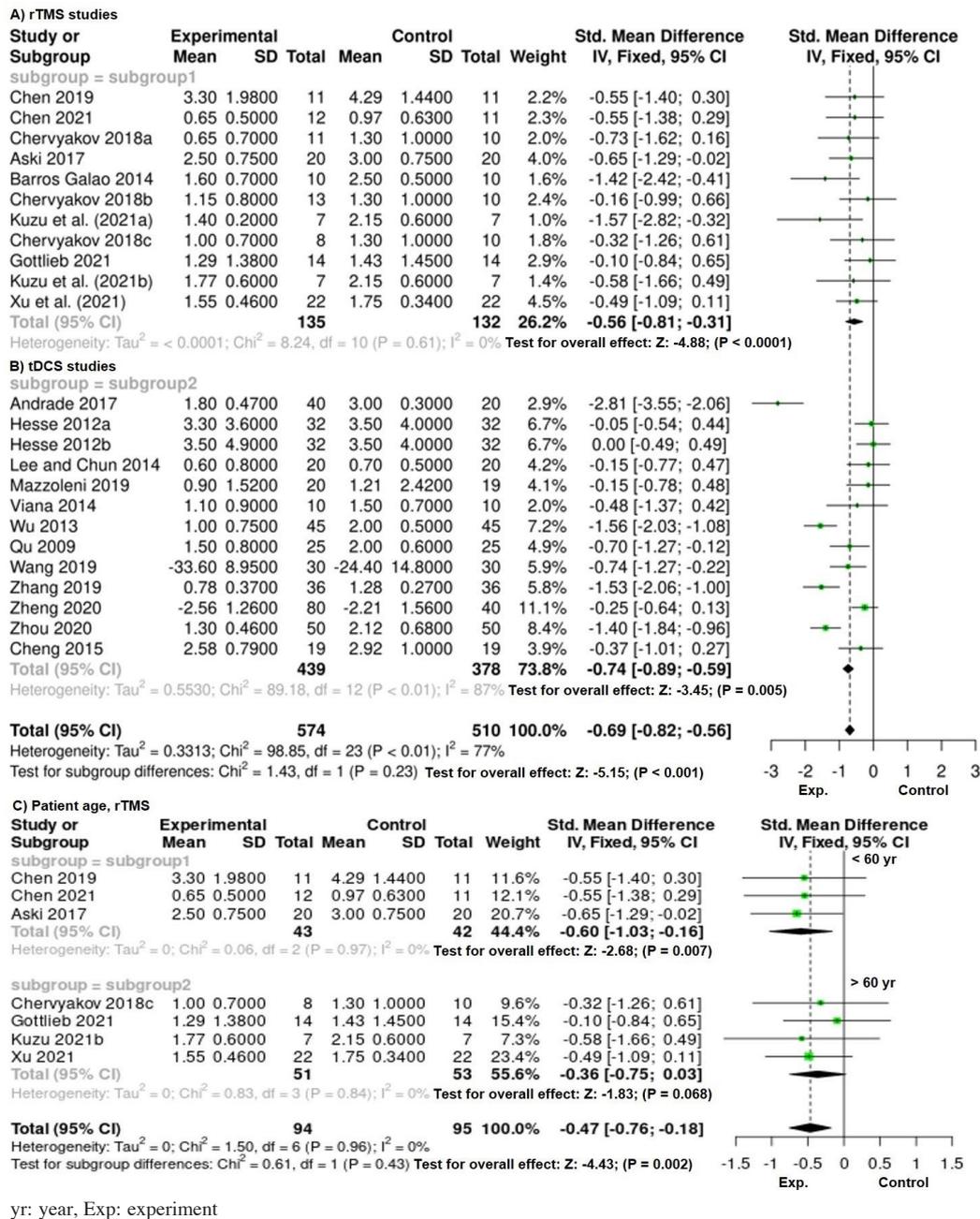
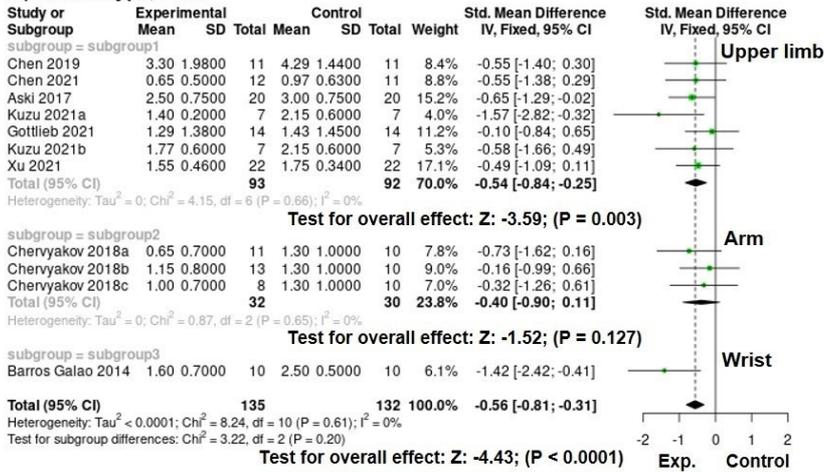


Figure 2. Forest plot analysis. The overall effect of (A) repetitive transcranial magnetic stimulation (rTMS) and (B) tDCS on post-stroke spasticity. (C) Effect of rTMS on post-stroke spasticity according to patient age. Articles pertaining to patients who were < 60 years or older in both the experimental and control groups were included.

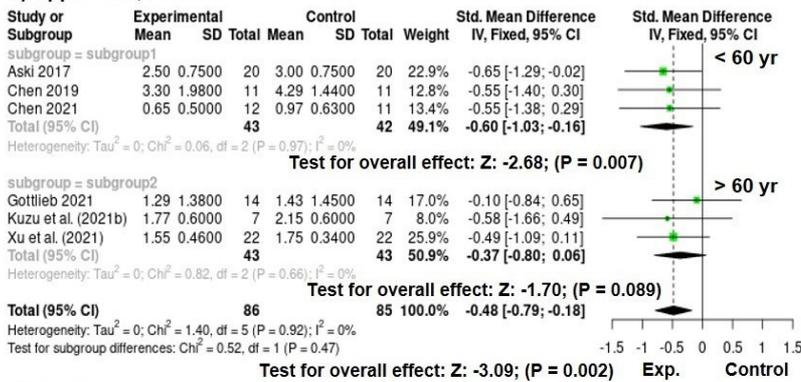
reduced spasticity in patients < 60 years (SMD: -1.36, $CI_{95\%}$: -2.99, 0.27, $P = 0.077$; Figure 6B). We found that the effect of tDCS was greater at > 2-6 (SMD: -0.96, $CI_{95\%}$: -1.93, 0.01, $P < 0.05$) or > 6 (SMD: -0.67, $CI_{95\%}$: -2.16, 0.81, P

< 0.01) months after stroke onset than when it was < 2 months (SMD: -0.57, $CI_{95\%}$: -1.70, 0.56, $P = 0.253$; Figure 7A). Regarding supratentorial lesions, the use of tDCS significantly reduced spasticity (SMD: -1.11, $CI_{95\%}$: -1.94, -0.28, $P =$

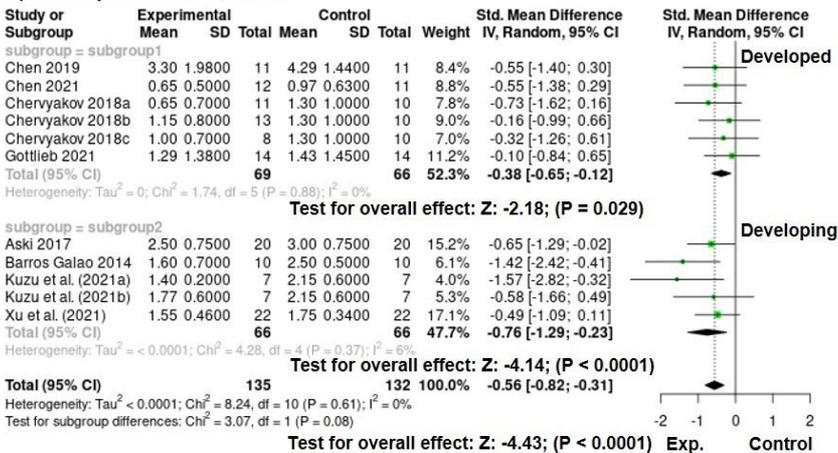
A) Muscle type, rTMS



B) Upper limb, rTMS



C) Development status, rTMS



yr: year, Exp: experiment

Figure 3. Forest plot analysis. Effect of repetitive transcranial magnetic stimulation (rTMS) on post-stroke spasticity in relation to (A) muscle type, (B) upper limb according to patient age (Articles pertaining to patients who were < 60 years or older in both the experimental and control groups were included), and (C) country classification (developed or developing).

0.017; Figure 7B). tDCS did not affect spasticity in patients with lesions in cerebral cortex/subcortex (SMD: -0.06, CI_{95%}: -0.23, 0.12, P = 0.721; Figure 7B). Regarding tDCS intensity, an intensity of < 2.0 mA significantly reduced spasticity (SMD: -1.10, CI_{95%}: -1.90, -0.29, P = 0.015; Figure 7C), whereas tDCS with an intensity of 2.0 mA had no effect (SMD: -0.39, CI_{95%}: -1.02, 0.24, P = 0.171; Figure 7C). Subgroup analysis revealed that the combination of tDCS with conventional physical therapy (SMD: -1.25, CI_{95%}: -2.15, -0.35, P = 0.016) outperformed other combinations with virtual reality and robot-assisted therapy in spasticity reduction (Figure 8A). The effect of tDCS in spasticity reduction was greater in developing countries (SMD: -1.09, CI_{95%}: -1.70, -0.47, P = 0.003) than in developed (SMD: -0.07, CI_{95%}: -0.19, 0.04, P = 0.621; Figure 8B).

Publication bias and heterogeneity

The risk of bias in each of the 20 studies was evaluated using the QUIPS tool (Table 2). Nineteen studies had moderate to high risk of bias. The study’s confounding was found to be the most concerning aspect of bias (Table 2). Moreover, the meta-regression tests showed significant heterogeneity among tDCS studies (P < 0.0001, Table 3B). Because of this high heterogeneity levels in tDCS studies, several subgroup analyses were performed to address potential heterogeneity. Egger’s linear regression test showed that publication bias was unlikely in tDCS studies included in meta- and subgroup analysis (Table 3A). Moreover, the symmetric funnel plots indicated a well-behaved dataset in which publication bias is unlikely in tDCS or rTMS studies (Figure 9 and Figure 10).

Table 2: Risk of bias according to the QUIPS tool

| Study | Participation | Attrition | Prognostic Factor | Outcome | Statistical Analysis and Reporting | Study Confounding | Risk of bias: + = high, +/- = moderate, - = low |
|----------------------------------|---------------|-----------|-------------------|----------|------------------------------------|-------------------|---|
| Barros Galvao 2014 ²⁴ | High | Moderate | Moderate | Low | Moderate | High | + |
| Aşkın 2017 ²³ | Moderate | Moderate | Low | Low | Low | High | +/- |
| Chervyakov 2018 ²² | High | High | Moderate | Low | High | High | + |
| Chen 2019 ²⁰ | High | Low | Low | Low | Low | High | +/- |
| Chen 2021 ²¹ | High | Moderate | Low | Low | Low | High | +/- |
| Gottlieb 2021 ²⁶ | High | High | Low | Low | Moderate | High | + |
| Xu 2021 ²⁷ | Moderate | Moderate | Moderate | High | High | High | + |
| Hesse 2012 ²⁹ | Low | Low | Low | Low | Low | Moderate | - |
| Kuzu 2021 ²⁵ | High | Moderate | Low | Low | Low | High | +/- |
| Wu 2013 ³³ | Low | Moderate | Low | Low | Low | High | +/- |
| Lee 2014 ³⁰ | Moderate | High | Low | Low | Moderate | High | +/- |
| Viana 2014 ³² | High | Moderate | Low | Low | Moderate | High | +/- |
| Andrade 2017 ²⁸ | Moderate | Moderate | Low | Low | Moderate | High | +/- |
| Mazzoleni 2019 ³¹ | Moderate | Low | Low | Low | Moderate | High | +/- |
| Qu 2009 ³⁴ | High | High | Moderate | Moderate | Moderate | High | + |
| Wang 2019 ³⁵ | High | High | Low | Low | Moderate | Moderate | +/- |
| Zhang 2019 ³⁶ | High | Moderate | Low | Low | Moderate | Moderate | +/- |
| Zhou 2020 ³⁸ | Moderate | Moderate | Low | Moderate | Moderate | High | + |
| Cheng 2015 ³⁹ | High | High | Moderate | Moderate | High | High | + |
| Zheng 2020 ³⁷ | Low | Moderate | Low | Low | Moderate | High | +/- |
| Overall: High risk | 11/20 | 6/20 | 0/20 | 1/20 | 3/20 | 17/20 | 7/20 |
| Overall: Moderate | 6/20 | 11/20 | 5/20 | 3/20 | 11/20 | 3/20 | 12/20 |
| Overall: Low risk | 3/20 | 3/20 | 15/20 | 16/20 | 6/20 | 0/20 | 1/20 |

Table 3: Heterogeneity and publication bias

| Variable | A) Publication bias | | B) Heterogeneity | |
|------------------------|-------------------------|---------|------------------|---------|
| | Egger's Regression Test | | Meta regression | |
| | t | p-value | Q | p-value |
| rTMS | -1.70 | 0.122 | 6.32 | 0.612 |
| tDCS | -0.69 | 0.506 | 67.88 | 0.0001 |
| Intensity, tDCS | -0.47 | 0.645 | 74.60 | 0.0001 |
| Stimulation rate, rTMS | -1.88 | 0.096 | 6.799 | 0.450 |
| Chronicity, tDCS | -0.69 | 0.506 | 67.88 | 0.0001 |
| Chronicity, rTMS | -1.58 | 0.157 | 5.428 | 0.711 |
| Lesion location, tDCS | -0.68 | 0.514 | 44.02 | 0.0001 |
| Lesion location, rTMS | -1.78 | 0.112 | 4.845 | 0.563 |
| Age, tDCS | -0.47 | 0.645 | 56.77 | 0.0001 |
| Age, rTMS | 0.21 | 0.849 | 0.884 | 0.971 |
| Muscle type, tDCS | -0.47 | 0.645 | 86.16 | 0.0001 |
| Muscle type, rTMS | -1.70 | 0.122 | 5.023 | 0.755 |
| Stimulation type, tDCS | -0.47 | 0.645 | 86.977 | 0.0001 |

rTMS: repetitive transcranial magnetic stimulation; tDCS: transcranial direct current stimulation

Figure 4. Forest plot analysis. Subgroup analysis of (A) stroke chronicity, (B) lesion location, and (C) stimulation rate regarding repetitive transcranial magnetic stimulation (rTMS).

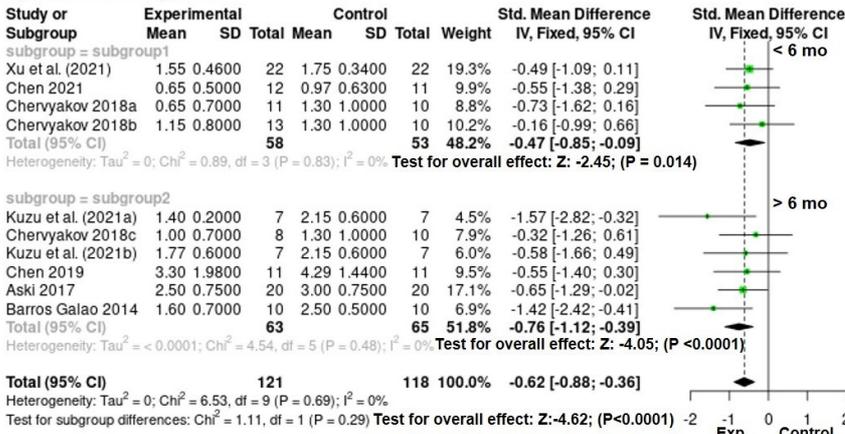
DISCUSSION

The meta-analysis revealed that the effects of different NIBS on PSS may vary depending on patient age, muscle type, stimulation method, lesion location, and country classification (level of development).

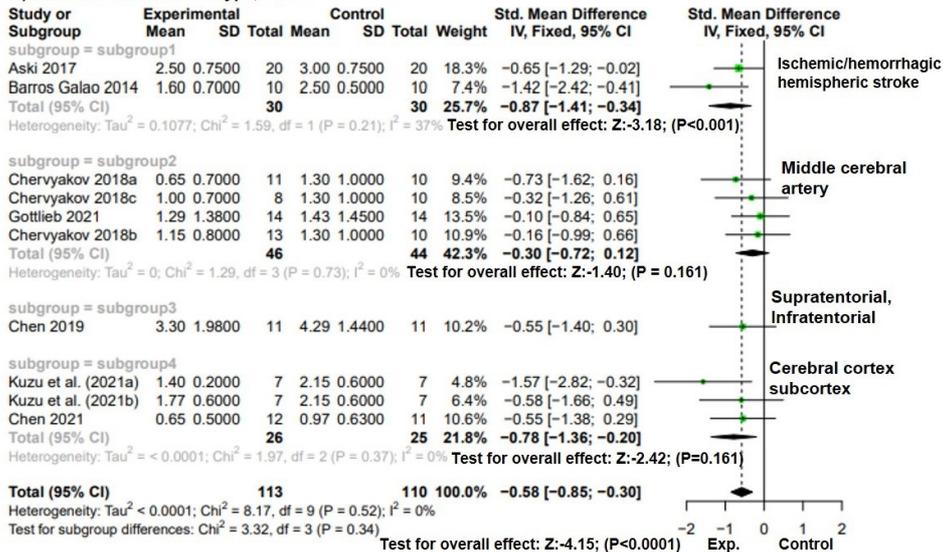
Subgroup analyses showed that both rTMS and tDCS had positive effects on spasticity reduction. Patients with stroke are prone to experiencing long-term disability due to spasticity. The pathophysiology of spasticity is proposed to involve damage to the upper motor neurons, which impairs inhibitory input to the spinal cord. At the spinal cord level, alpha and gamma motor neurons and interneurons exhibit increased excitability because of this phenomenon.⁴⁰ Spasticity reduction can be achieved through rTMS in patients with different neurological conditions.⁴¹ By using magnetic signals of varying frequencies, rTMS stimulates specific regions of the central nervous system. By creating an electric field, rTMS stimulates cortical neurons and modifies cortical excitability during stimulation.⁴² rTMS is typically applied in an inhibitory mode over the non-lesioned hemisphere to reduce the transcallosal inhibitory effect in the non-lesioned hemisphere on the stroke side.⁴³ Evidence suggests that rTMS can facilitate the restructuring of abnormal cortical circuits, which

may be connected to its therapeutic value.⁴³ rTMS can modulate specific TMS-evoked EEG potential components (TEPs) that may serve as markers of neuroplastic changes.^{44,45} Hamidi *et al.*⁴⁶ recorded EEG during a 3-s train of 10 Hz rTMS (30 pulses) delivered to the postcentral gyrus and superior parietal lobule. They demonstrated that successive pulses first decreased and then increased the amplitude of the TMS-evoked brain response. In a study of chronic stroke patients, rTMS reduced F waves, suggesting that rTMS suppresses spinal cord excitability by enhancing inhibitory input from the cerebral cortex to spinal neurons.⁴⁷ Helfrich *et al.*⁴⁸ reported a decrease in N100, although a rapid increase in N100 was observed after approximately 500 pulses (8 min of stimulation), followed by a stable plateau after this rapid increase. It has been suggested that N100 represents both motor cortical inhibition and modulation of GABAergic inhibition.^{45,49} Therefore, the cerebral cortex is stimulated by rTMS, which affects physiological processes in the brain and changes cortical excitability, metabolism, and blood flow.⁵⁰ This alters the functioning of neurotransmitters and communication in the brain, leading to the restoration of normal brain function by restoring damaged cells.⁵⁰ Therefore, rTMS may reduce limb spasticity in post-stroke patients, induce

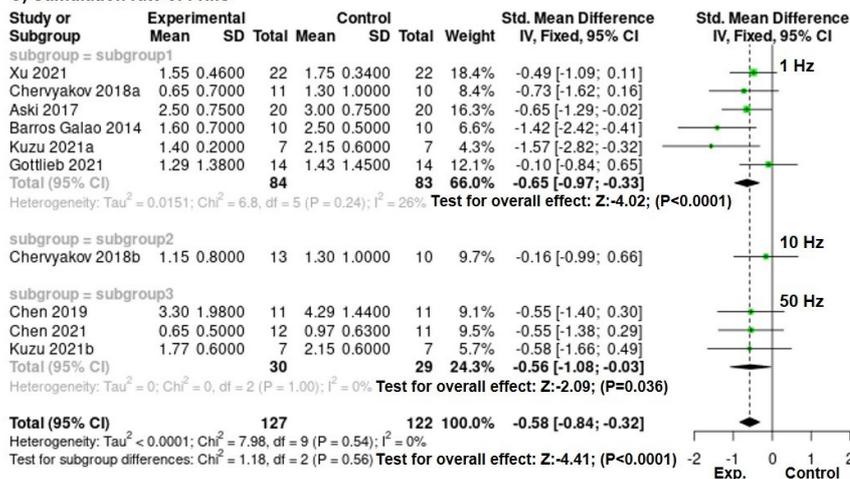
A) Stroke chronicity, rTMS



B) Lesion location/stroke type, rTMS

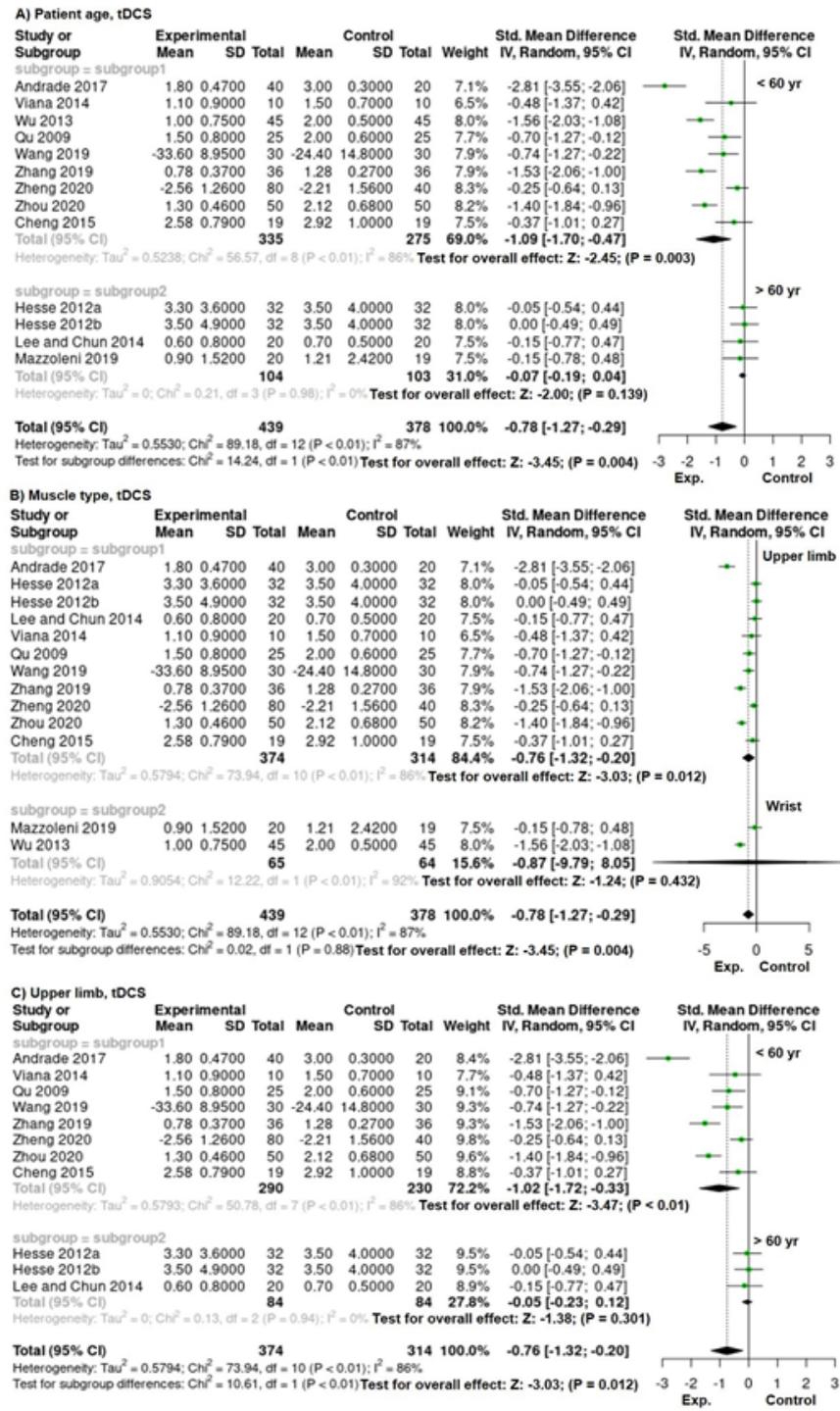


C) Stimulation rate of rTMS



mo: month; Exp: experiment.

Figure 4. Forest plot analysis. Subgroup analysis of (A) stroke chronicity, (B) lesion location, and (C) stimulation rate regarding repetitive transcranial magnetic stimulation (rTMS).



mo: month; Exp: experiment.

Figure 5. Forest plot analysis. Effect of transcranial direct current stimulation (tDCS) on post-stroke spasticity based on the (A) patient age, (B) muscle type, and (C) upper limb and patient age. Articles pertaining to patients who were < 60 years or older in both the experimental and control groups were included.

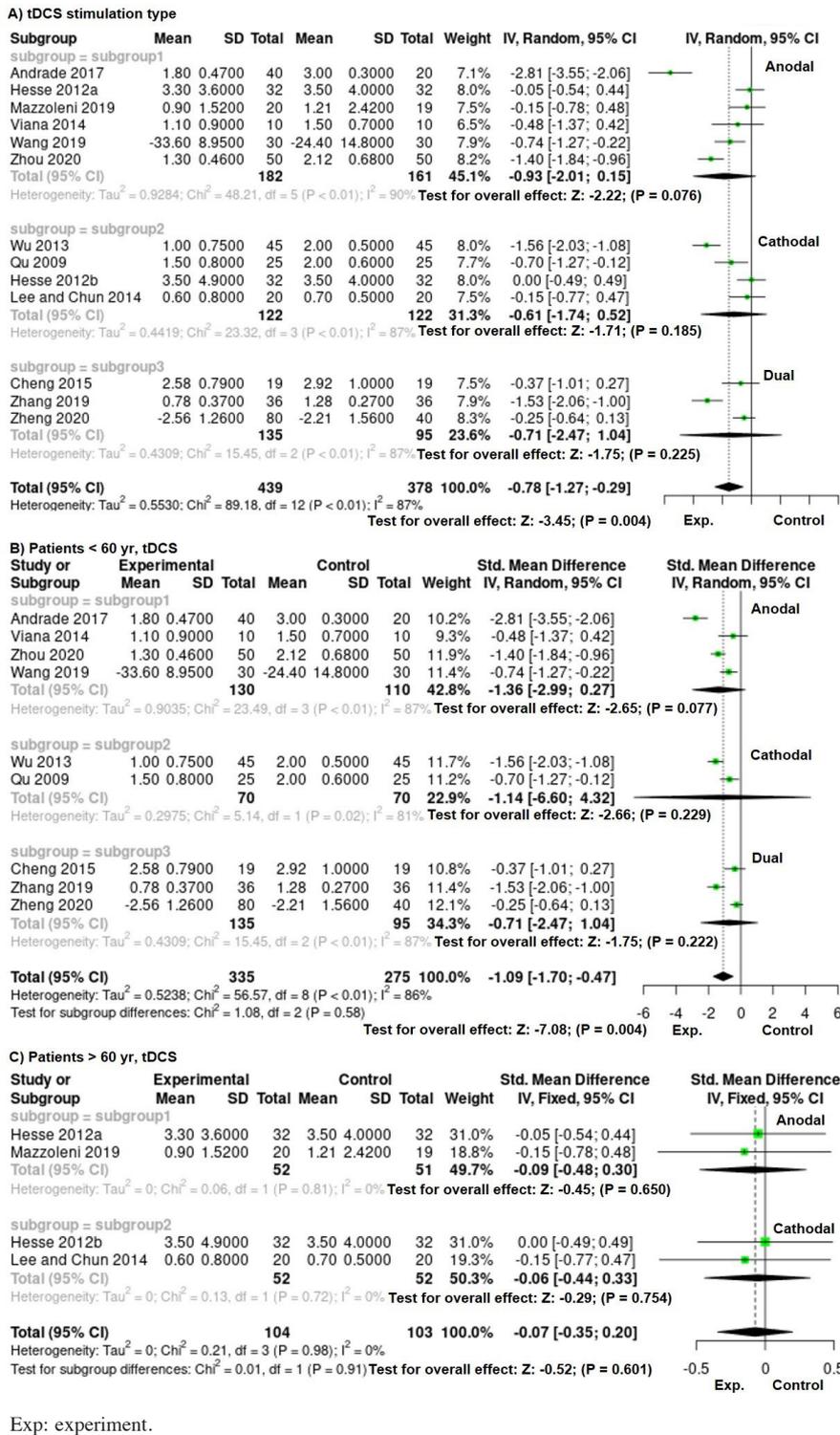
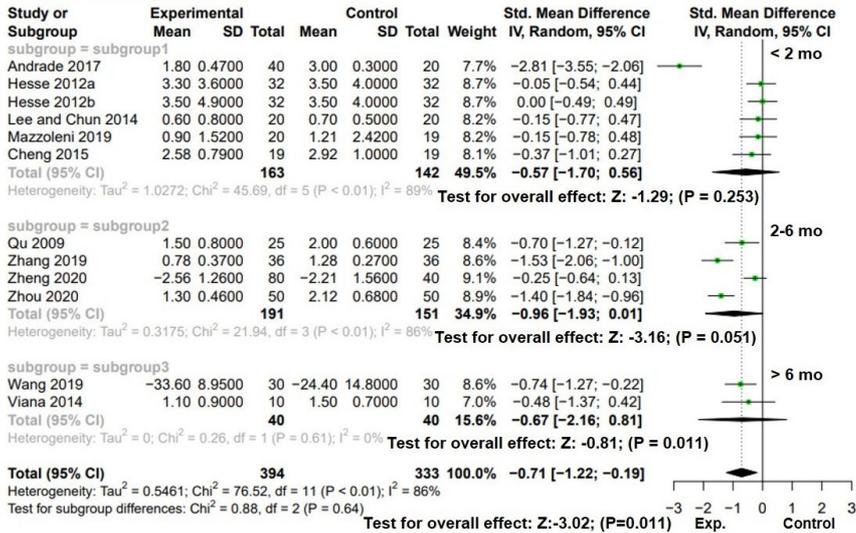
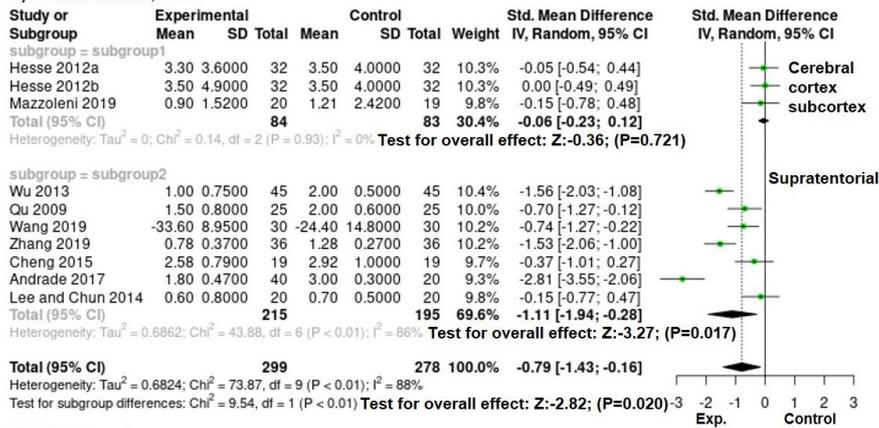


Figure 6. Forest plot analysis. Effect of transcranial direct current stimulation (tDCS) on post-stroke spasticity in terms of (A) stimulation type (anodal, cathodal, and dual), (B) stimulation type and patient age (< 60 years), and (C) stimulation type and patient age (> 60 years).

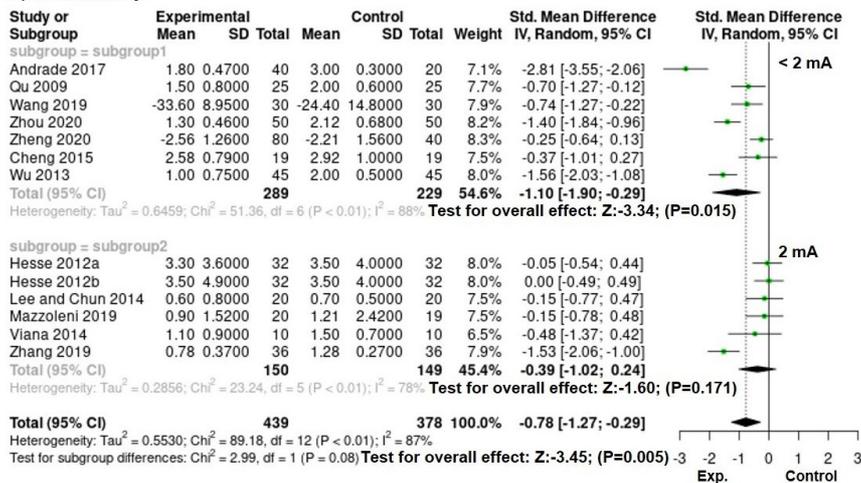
A) Stroke chronicity, tDCS



B) Lesion location, tDCS

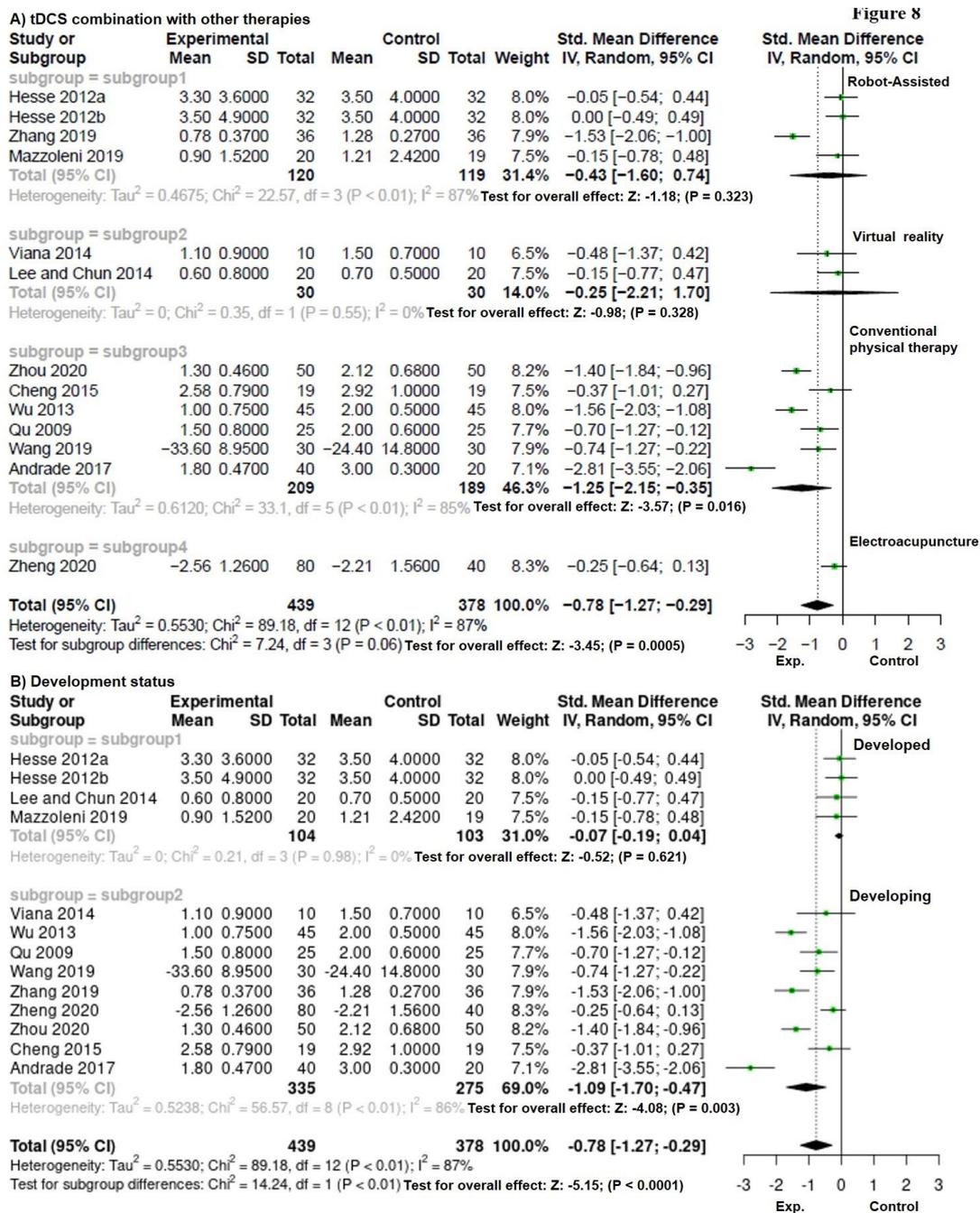


C) tDCS intensity



Exp: experiment.

Figure 7. Forest plot analysis. Subgroup analysis of (A) stroke chronicity, (B) lesion location, and (C) intensity regarding repetitive transcranial direct current stimulation (tDCS).



Exp: experiment.

Figure 8. Forest plot analysis. (A) Effect of transcranial direct current stimulation (tDCS) combination with other therapies and (B) country classification (developed or developing) on post-stroke spasticity.

brain plasticity and brain network reorganization, and enhance the recovery of the primary and secondary motor cortex.⁵¹

Subgroup analysis revealed that the stimulation rate [both low-frequency (≤ 1 Hz) and high-

frequency (≥ 5 Hz)] did not affect the positive effect of rTMS on PSS, with a greater effect from low-frequency rTMS (-0.65 versus -0.56). A previous study revealed that chronic stroke patients who experienced 1 Hz rTMS over the

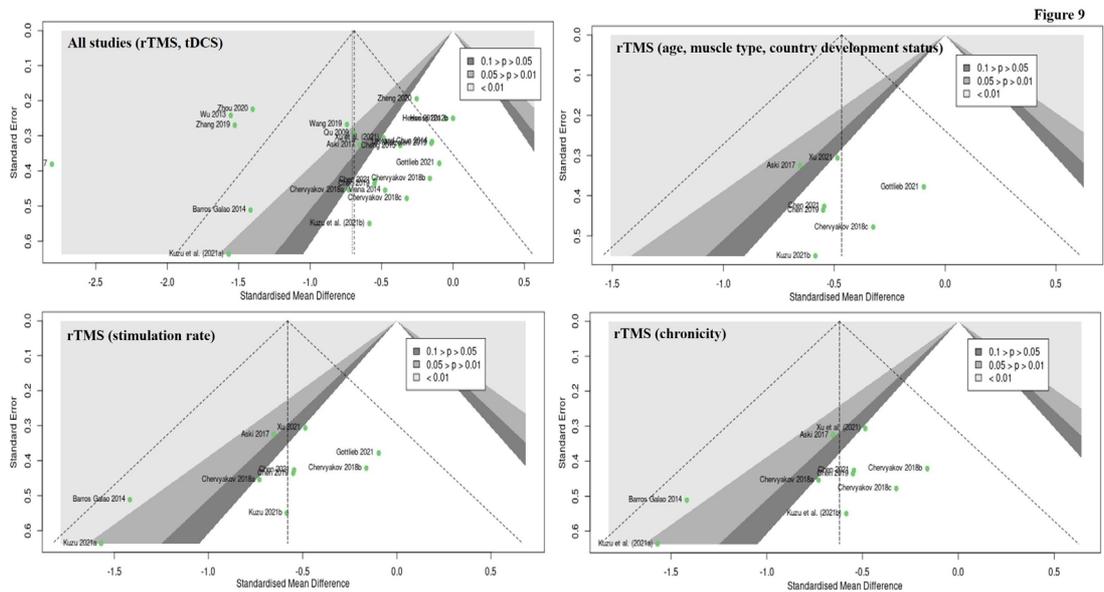


Figure 9. Funnel plot symmetrical suggesting the absence of publication bias in the studies included in various meta- and subgroup analysis.

unaffected motor cortex had better upper limb function.⁵² Motor function in chronic stroke patients is likely to be enhanced by either excitatory HF-rTMS of the ipsilesional primary motor cortex (M1) or inhibitory LF-rTMS of the contralesional M1.²³ This mechanism may enhance motor skills. The chronic phase of stroke is more likely to be affected by LF-rTMS in the unaffected hemisphere.⁵³ In patients with chronic stroke, LF-rTMS has been found to enhance the activation of damaged cortex and decrease interhemispheric inhibition, which is associated with improved function.²³ The use of LF-rTMS and HF-rTMS together can regulate the excitability of both hemispheres, leading to more effective therapeutic effects.⁵⁴ Nevertheless, there are no consistent standards for various stimulatory methods.

As mentioned above, tDCS had a positive effect on PSS reduction. tDCS applies a low-amplitude direct current via scalp electrodes, which alters the transmembrane potential and increases or decreases cortical excitability through anodal or cathodal stimulation, respectively.⁵⁵ In contrast to TMS, which has applications in both neurostimulation and neuromodulation, tDCS appears to be a neuromodulatory intervention.⁵⁶ The resting membrane potential of neurons is regulated by the tDCS current.⁵⁶ The three primary mechanisms of tDCS-induced neurophysiology include (a) enhancement of local cerebral blood flow, (b) stimulation of synaptic efficiency, and (c) activation of neurotrophic factors.⁵⁶ Anodal

tDCS leads to subthreshold depolarization, which improves excitability in the affected hemisphere, whereas cathodal tDCS causes hyperpolarization and decreases excitability in the unaffected hemisphere. This may normalize the bihemispheric imbalance of transcallosal inhibition following stroke.⁵⁶ Following stroke, functional recovery can be improved by both anodal and cathodal stimulation, which regulates neurogenesis, increases the recruitment of oligodendrocyte precursor cells, and divides microglia.⁵⁶ tDCS has therapeutic effects on the functional recovery and survival of cortical neurons in subacute stroke models.⁵⁶ The goal of tDCS is to provide a subthreshold stimulus that modulates the probability of neuron firing by hyperpolarizing or depolarizing brain tissue without directly depolarizing the neurons. The meta-analysis showed that tDCS enhanced functional recovery after stroke by modulating neuronal activity and promoting neuroplasticity.

Subgroup analysis showed that the effect of tDCS on PSS reduction was not influenced by the type of stimulation. The effects of anodal rDCS were greater than those of cathodal rDCS or dual stimulation. Anodal stimulation effectively activates motor-evoked potentials, whereas cathodal stimulation inhibits them.²⁹ Anodal polarization increases excitability and cathodal stimulation decreases cortical excitability.³³ These changes are observed during tDCS and continue for up to an hour after termination. Hummel *et al.*⁵⁷

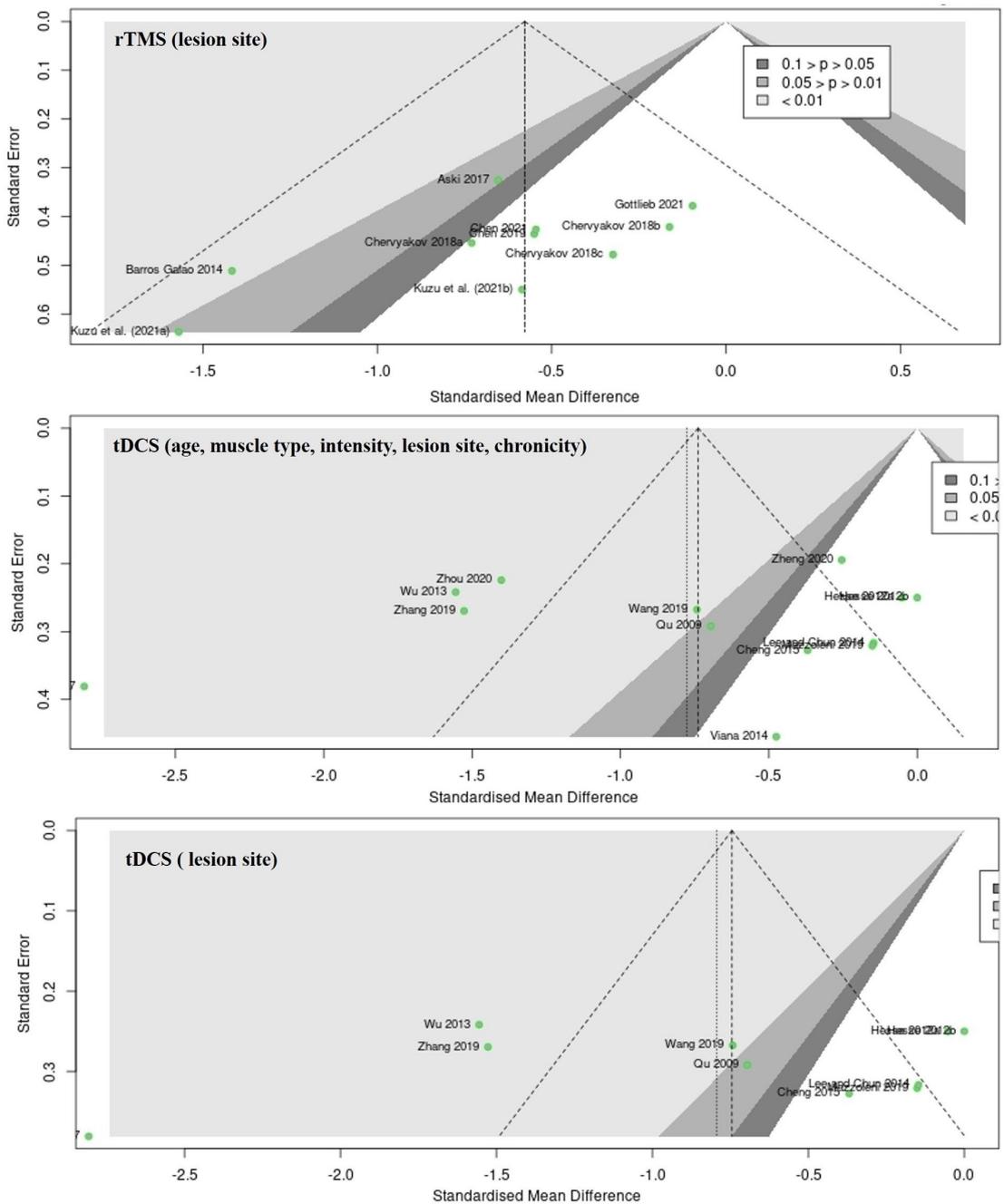


Figure 10. Funnel plot symmetry suggesting the absence of publication bias in the studies included in various meta- and subgroup analysis.

proved that motor performance in chronic stroke survivors was temporarily improved by activation of the affected hemisphere by anodal tDCS. Anodal stimulation of the affected hemisphere appears to be more effective than cathodal rDCS for improving motor performance.

Subgroup analysis revealed that tDCS at current levels of > 2.0 mA significantly reduced spasticity,

whereas a current level of 2.0 mA showed no significant effect on post-stroke spasticity. Similar to our findings, the reversal of excitatory effects on corticospinal excitability was observed at higher intensities (≥ 1 mA).⁵⁸ Specific changes in intracortical physiology, including increased inhibition and reduced excitatory mechanisms, are linked to this phenomenon.⁵⁸ Higher intensities

and longer stimulation times may increase calcium influx into more neurons to induce plasticity.⁵⁸ On the other hand, the use of low-intensity electrical current in tDCS is intended to regulate the charge distribution of the membrane potential of nerve cells by applying it to the target brain area.⁵⁹ This leads to depolarization or hyperpolarization, thereby altering the excitability of the cerebral cortex.⁵⁹ The results indicated that low-intensity tDCS can improve post-stroke spasticity.

Subgroup analysis revealed that upper limb (except arms) function was improved by rTMS and tDCS. The application of low-frequency rTMS to the unaffected hemisphere was more effective than the application of high-frequency rTMS to improve upper limb motor function.²⁵ Upper extremity dysfunction is common in stroke patients, and permanent upper extremity motor deficits limit activities of daily living in many cases.⁶⁰ Bradnam *et al.*⁶¹ reported that cathodal tDCS improved upper extremity control only in patients with mild stroke and worsened it in patients with moderate and severe stroke. Upper limb function was improved by rTMS and tDCS in individuals with stroke, as indicated by the data.

Subgroup analysis showed that the benefits of rTMS-reduced spasticity reduction were not affected by stroke chronicity. Regarding tDCS, the study found that this method was more effective in reducing spasticity two months after stroke onset.⁶² A meta-analysis and systematic review demonstrated that tDCS is an effective treatment for chronic post-stroke aphasia.⁶³ The timing of NIBS use after stroke can affect patient recovery. For example, promising results were observed after repeated tDCS, which improved patients' motor and somatosensory function in the first month after stroke. Interestingly, comparable positive outcomes have been observed in patients with chronic stroke.⁶³ There is a proposed model of functional recovery in chronic stroke that involves maladaptive changes in M1- γ -aminobutyric acid-mediated inhibition in both the ipsilateral and contralateral lesions.⁶⁴ The use of bihemispheric tDCS therapy improved upper limb motor functions in patients with chronic stroke.⁶⁵ These findings are consistent with our findings showing the benefits of tDCS in improving upper limb function. This study provides promising results for the rehabilitation of PSS and indicates a potential tool to reduce spasticity in acute and chronic stroke.

The meta-analysis revealed that tDCS significantly reduced plasticity in patients with

supratentorial lesions. Although the frequency of spasticity after stroke varies significantly between 4.0% and 42.6%, research on the effects of brain lesions on patients with stroke is limited.⁶⁶ In patients with stroke, damage to the anterior putamen and thalamus is associated with a poorer prognosis of upper limb function.⁶⁷ Using magnetic resonance imaging, Kyoung *et al.*⁶⁷ found that the progression of upper-limb spasticity was associated with lesions in the superior corona radiata, posterior limb of the internal capsule, posterior corona radiata, thalamus, putamen, premotor cortex, and insula.⁶⁸ However, supratentorial brain lesions in motor network regions are at low risk of developing post-stroke spasticity if their volume is less than 0.5 cm³. Post-stroke spasticity was accompanied by significantly higher volume of brain lesions (> 3 cm³) and involvement of motor network areas.⁶⁸ Thus, the prevalence of spasticity after stroke varies widely and depends on the characteristics of the lesion.

Subgroup analysis showed that both rTMS and tDCS reduced PSS in patients aged < 60 years (mean: 54.6 years) compared with patients aged > 60 years (mean: 66.4 years). Furthermore, subgroup analysis revealed that the beneficial effects of rTMS and tDCS on upper limb function were greater in patients aged < 60 years. Aging is linked to extensive qualitative and quantitative changes in the motor cortex.⁶⁹ For example, the occurrence of cortical atrophy, reduced cortical excitability, reduced cortical plasticity, and neurochemical abnormalities is believed to be linked to advanced age.⁶⁹ People over 65 years of age have been shown to have a 43% volumetric reduction in the size of the perikaryon of premotor cortex neurons compared to adults less than 45 years of age.⁷⁰ Cortical thinning also accompanies aging, with areas close to the primary motor cortex (e.g., the precentral gyrus) showing marked atrophy.⁷¹ Furthermore, older adults exhibit significantly greater intracortical inhibition and less intracortical facilitation than younger adults.⁷² The results indicated the need to improve NIBS techniques for older adults.

Subgroup analysis revealed that the combination of tDCS and conventional physical therapy reduced spasticity, whereas other adjuvant therapies, including virtual reality and robot-assisted therapy, did not reduce spasticity. The possibility of combining physical therapy modalities is an advantage of tDCS.²⁸ Previous studies have demonstrated that physical rehabilitation can promote alterations in sensory-motor cortical

activation and corticospinal conductivity in patients after stroke, in addition to promoting significant clinical improvement.⁷³ Plow et al.⁷⁴ emphasized that physical rehabilitation plus tDCS can facilitate cortical activity and restore interhemispheric balance, representing an important adjuvant therapy for functional recovery. Previous study revealed that tDCS is not an effective combination approach when physical therapy alone has a significant impact.⁷⁵ The findings indicate that combining tDCS and physical therapy can reduce spasticity in post-stroke patients.

Interestingly, subgroup analysis revealed that the use of rTMS and tDCS was more advantageous for reducing spasticity in post-stroke patients from developing countries than in those from developed countries. In terms of the effectiveness of tDCS in post-stroke rehabilitation, there are still significant disparities between developed and developing countries.⁶² However, it is not clear why this method was more effective in developing countries than in developed countries. One explanation is that variability in responses is linked to interpersonal factors in tDCS studies.⁶² The lack of collaboration with international teams is a notable limitation despite the fact that many scientists are actively working on tDCS for stroke treatment. The area would benefit from increased collaboration and communication in future research.

As our society ages rapidly, it is critical for the scientific community to better understand age-related differences in the effectiveness of NIBS. Therefore, appropriate treatment targets and effective interventions need to be developed to improve the decline in muscle function after stroke, especially in the elderly. In addition, in future studies, it is necessary to gather long-term follow-up data to evaluate the persistence of the therapeutic effects of rTMS and tDCS on PSP. Zhang et al assessed the immediate and longer-lasting effects (> 1 month) and found that tDCS and rTMS over the primary motor cortex decreased spasticity with no statistical significance after 1 month.⁷⁶

In conclusion, these findings suggest a more complex or distinct interaction between stimulation parameters, patient age, lesion site, and induced plasticity.

DISCLOSURE

Data availability: All data generated or analyzed during this study are included in the published article.

Conflict of interest: None

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